PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 0288-034P/JAB

U.S. APPLICATION NO FIRE ROLL 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/IN 2004/000102

INTERNATIONAL FILING DATE 16 APRIL 2004 PRIORITY DATE 21 APRIL 2003

TITLE OF INVENTION PROCESS FOR THE PREPARATION OF GABAPENTIN FORM-II

APPLICANT(S) FOR DO/EO/US
S. CHAVA; S. R. GORANTLA; V. S. K. INDUKURI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☑ This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.

2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.

3. ☑ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.

4. ☐ The US has been elected (Article 31).

5. ☑ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))

a. is attached hereto (required only if not communicated by the International Bureau).
b. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C.
a. is attached hereto.
b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
a. are attached hereto (required only if not communicated by the International Bureau).
b. have been communicated by the International Bureau.

d. \( \triangle \) have not been made and will not be made.
8. \( \triangle \) An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

have not been made; however, the time limit for making such amendments has NOT expired.

Items 11 to 20 below concern document(s) or information

11. F	l Ar	n Information	Disclosure	Statement	under 37	<b>CFR 1.97</b>	and 1	98
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- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- A preliminary amendment.
- 14. 
  An application Data Sheet under 37 CFR 1.76.
- 15. A substitute specification.
- 16. A power of attorney and/or change of address letter. (Three (3) Powers of Attorney)
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 1.825.
- 18. 🛛 A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION OF THE POLICY TO NO. PCT/IN2004/000102			0288-034P/JAB					
20. ☑ Other items or information: INVENTORS' DECLARATIONS UNDER PCT RULE 4.17 WERE SUBMITTED WITH THE PCT FILING								
The following fees are submitted:						CAL	CULATIONS	PTO USE ONLY
21.   Basic national fee (37 CFR 1.492(a))						\$	\$300.00	,
22. \( \times \) Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article  33(1)-(4) \$0  All other situations \$200						\$	\$200.00	
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). \$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority								
All other situation		21, 22 and 23 =			\$500	\$	\$500.00 \$1,000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						•	\$1,000.00	
Total Sheets	Extra Sheets	Number of each	h additional 50 or fraction d up to a whole number)		RATE			
- 100 =	- 100 = 0 /50 = 0 x \$250.00			\$250.00	\$	\$0.00		
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).						\$		
CLAIMS	NUMBI	R FILED	NUMBER EXTRA		RATE			
Total claims	17	- 20 =	0	×	\$50.00	\$	\$0.00	
Independen	4	- 3=	1	x	\$200.00	\$	\$200.00	
Multiple Depe	ndent Claims	·		+	\$360.00	\$		
TOTAL OF ABOVE CALCULATIONS =						\$	\$1,200.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						\$ \$	\$0.00 \$1,200.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$	31,200.00	
TOTAL NATIONAL FEE =						\$	\$1,200.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per +						\$		
TOTAL FEES ENCLOSED =						\$	\$1,200.00	
						refu	ount to be inded:	\$
							ount to be rged:	\$

10/553821

## JC09 Rec'd PCT/PTO 19 OCT 2005

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U.S. Patent and Trauemark Onice, 0.3. Set Actions of Solume Ones, on Decrease Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a. 🛚	A check in the amount of \$1,200.00	to cover the above fees is enclos	ed.				
b. 🗀	Please charge my Deposit Account A duplicate copy of this sheet is enclosed.	in the amount of	to cover the above fees.				
_	The Commissioner is hereby authorized to charge to Deposit Account 19-0748 . A Fees are to be charged to a credit card. WARNI information should not be included on this fee	A duplicate copy of this sheet is en ING: Information on this form may	closed. become public. Credit card				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
JAY A SCHW 292 M. NEW Y	ALL CORRESPONDENCE TO: . BONDELL, ESQ. 'EITZER CORNMAN GROSS & BONDELL ADISON AVENUE, 19TH FLOOR YORK, NEW YORK 10017 PHONE - (646) 424 0770 'MILE - (646) 424 0880	SIGNATUR	E JAY A. BONDELL  28,188 TION NUMBER				

JC09 Rec'd.PCT/PTD 19 DCT 2013

EXPRESS MAIL NO. EV 479096423 US

## **EXPRESS MAIL CERTIFICATION**

CERTIFICATE UNDER 37 C.F.R. 1.8(a) I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 19, 2005.

Dated

October 19, 2005

CAROLL WOOD